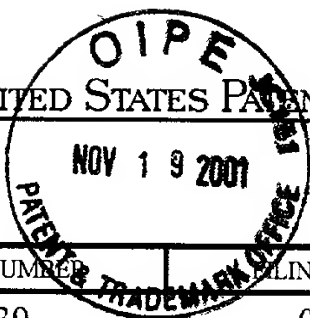




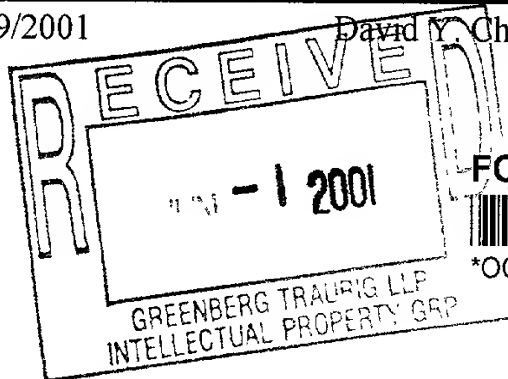
UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/829,339	04/09/2001	David Y. Chan	0267-1430 (41912.018500)

GREENBERG TRAURIG, LLP
885 Third Avenue -- 21st Floor
New York, NY 10022



CONFIRMATION NO. 1353

FORMALITIES LETTER



OC000000006113841

Date Mailed: 05/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

11/23/2001 13:05 09/09/02 12:15 09/23/03
130.00 CR

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
0267-1430 (41912.018500)

In Re Application Of: **David Y. CHAN, et al.**

Serial No. 09/829,339 Filing Date April 9, 2001

Examiner
TBD

Group Art Unit
2836

Invention: **CIRCUIT INTERRUPTER WITH IMPROVED SURGE SUPPRESSION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on May 24, 2001.

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Submission of Formal Drawings

ERHE 00000024 09829339

130.00 CH

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
0267-1430 (41912.018500)

In Re Application Of: David Y. CHAN, et al.

Serial No.
09/829,339

Filing Date
April 9, 2001

Examiner
TBD

Group Art Unit
2836

Invention: **CIRCUIT INTERRUPTER WITH IMPROVED SURGE SUPPRESSION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☒ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees **\$1,440.00**

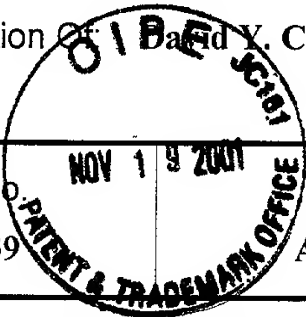
Total fees due **\$1,570.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
0267-1430 (41912.018500)

In Re Application Of **David Y. CHAN, et al.**

Serial No.
09/829,339



Filing Date
April 9, 2001

Examiner
TBD

Group Art Unit
2836

Invention: **CIRCUIT INTERRUPTER WITH IMPROVED SURGE SUPPRESSION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,570.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **12-1185**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **12-1185**
A duplicate copy of this sheet is enclosed.

Signature

Dated: **November 16, 2001**

Paul J. Sutton
Reg. No. 24,201
GREENBERG TRAUIG, LLP
885 Third Avenue -- 21st Floor
New York, NY 10022

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

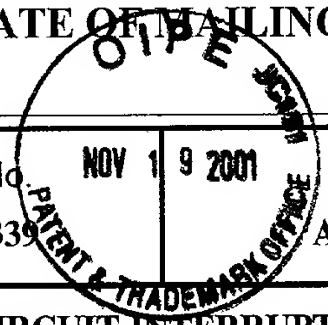
Typed or Printed Name of Person Mailing Correspondence

CC:

M-21-017

SECTOR 4

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)		Docket No.
Applicant(s):		0267-1430 (41912.018500)



Serial No. 09/829,339	Filing Date April 9, 2001	Examiner TBD	Group Art Unit 2836
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Invention: **CIRCUIT INTERRUPTER WITH IMPROVED SURGE SUPPRESSION**

I hereby certify that this Response To Notice To File Missing Parts Of Application
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
November 19, 2001
(Date)

Paul J. Sutton
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)
EL 729398971 US
(Express Mail Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

11/23/2001 WBERNE 09/00024 121187 09829339
02 12:11 1940.00 GR